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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on June 16, 2003

Attorney Docket No. 305J-900320US

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

  
Amelia Groth

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Ilse Bartke, et al.

Application No.: 09/854,142

Filed: May 10, 2001

For: **NGF FOR THE PREVENTION OF  
DEMYELINATION IN THE NERVOUS  
SYSTEM**

Examiner: Jon P. Weber

Art Unit: 1651

REQUEST FOR CORRECTED FILING  
RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the **Attorney Docket number** should read as follows:

**305T-900320US**

And the **Domestic Priority data as claimed by applicant** should read as follows:

**THIS APPLICATION IS A CIP OF 09/529,369 06/08/2001**

**WHICH IS A 371 OF PCT/EP98/02029 04/08/1998**

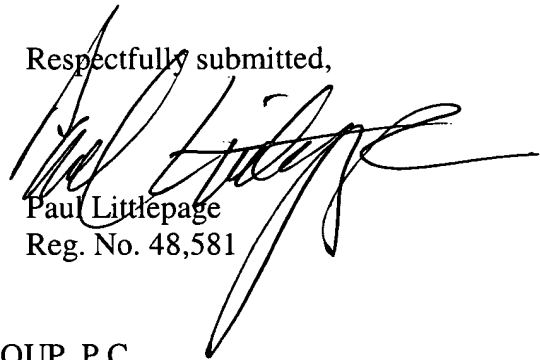
**WHICH CLAIMS THE BENEFIT OF 08/833,959 04/11/1997**

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Ilse Bartke, et al.  
Application No.: 09/854,142  
Page 2

It is believed that no fee is due. If a fee is due, please charge Deposit Account  
No. 50-0893.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Paul Littlepage', is written over the typed name and registration number.

Paul Littlepage  
Reg. No. 48,581

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/854,142	05/10/2001	1614	603	305T-900310US	9	28	7

22798

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
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ALAMEDA, CA 94501

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AUG 23 2002

CONFIRMATION NO. 6801

UPDATED FILING RECEIPT



\*OC000000008640180\*

Quine Intellectual Property Law Group, P.C.

Date Mailed: 08/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Ilse Bartke, Mannheim, GERMANY;  
Jurgen Unger, Landshut, GERMANY;  
Claude Genain, Mill Valley, CA;  
Stephen Hauser, Ross, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/529,369 06/08/2001  
WHICH IS A 371 OF PCT/EP98/02029 04/08/1998  
WHICH CLAIMS THE BENEFIT OF 08/833,959 04/11/1997

**Foreign Applications**

If Required, Foreign Filing License Granted 08/16/2002

Projected Publication Date: 11/28/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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NGF for the prevention of demyelination in the nervous system

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/854,142	05/10/2001	1651	603	305T-900320US	9	17	4

CONFIRMATION NO. 6801

22798  
QUINE INTELLECTUAL PROPERTY LAW GROUP,  
P.C.  
P O BOX 458  
ALAMEDA, CA 94501

CORRECTED FILING RECEIPT



\*OC000000010387242\*

Date Mailed: 06/30/2003

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

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JUL 16 2003

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

TECH CENTER 1600/290

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☒ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification. 08/833,959 filing date 04/11/1997
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.

\_\_\_ The application(s) to which priority is claimed were filed over a year prior to the filing date of

☐ this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.

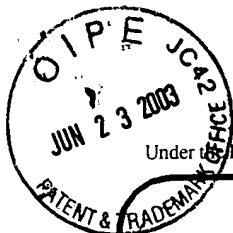
☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

Haimanot Tegbaru

Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202

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TECH CENTER 1600/2900



\$ Receipt

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/854,142

Filing Date

May 10, 2001

First Named Inventor

Ilse Bartke

Group Art Unit

1651

Examiner Name

Jon P. Weber

Total Number of Pages in This Submission

22

Attorney Docket Number

305J-900320US

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JUL 16 2003

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## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form  
☐ Fee Attached
- ☒ Amendment / Response  
☐ After Final  
☒ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Appendix A: Marked-up Claims
- ☒ Appendix B: Courtesy copy of pending claims
- ☒ Appendix C: Changes made to the Specification;
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):
- Request for Corrected Filing Receipt; Marked up copy of Official Filing Receipt; Receipt Acknowledgment Postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Paul Littlepage, Reg. No. 48,581, Quine Intellectual Property Law Group, P.C.

Signature

Date

June 16, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Amelia Groth

Signature

Date

June 16, 2003